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Document Title:	<b>Accelerator and Beam Modes</b>
Description:	Common Specification, definition and integration of Accelerator Modes and Beam Modes into accelerator facility operation and control system
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### Abstract

This common specification outlines two fundamental modes: the '*accelerator mode*' covering rule sets and operational sequence outside of beam operation and that are defined per accelerator or beam-line section (e.g. shutdown, setup, physics run, etc.); and the '*beam mode*' covering rule sets during beam operation and that are defined per accelerator or beam-line section and Beam-Production-Chain (e.g. no beam, pilot beam, stable beam, etc.).

The purpose of these modes is to communicate the intended accelerator operation, and to condition the various accelerator sub-system responses (e.g. archiving, interlock and fast-beam-abort systems, management of critical settings, beam time statistic etc.). The accelerator control system will distribute this information to the accelerator devices, experiments and wider FAIR community.

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Document History:

Version	Prepared by:	Checked, Date	Released, Date	Comment
V 0.1	R. Steinhagen, R. Bär			Initial version based on preparatory work and discussions in the FAIR Commissioning and Control Working Group
V 0.2			2014-10-16	Some modifications and comments based on feedback from early 'Checked by' distribution
V 0.3	R. Steinhagen		2017-01-23	Incorporated feedback w.r.t. implementation
V 0.4	R. Steinhagen		2017-05-31	Incorporated feedback from equipment groups, FC2WG, SPL & MCs, added some basic hierarchy rules for accelerator and beam modes along the BPC

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## Purpose and Classification of the document

The purpose of this document is to introduce and formalise the definition of 'modes' and 'actual states' for the accelerator control system implementation of FAIR. The range covers all new FAIR accelerator machines as well as part of the existing GSI injector chain with the intention to implement the concept for the full GSI/FAIR complex after complete refurbishment of the control system.

The main purpose of formal accelerator and beam modes are

- to communicate the intended accelerator operation to the experiments and wider FAIR community,
- to condition the various control sub-system responses (e.g. archiving, interlock and fast beam-abort systems, management of critical settings, etc.), and
- to derive the base-line and minimum subdivision of further machine-, beam-, or experiment availability analyses.

### 1. Concept of Modes and Actual State Flags

'Modes' are deliberate user-driven states (references or 'desired target') that follow and track the normal operational sequences (e.g. 'no beam' → 'pilot beam' → 'intensity ramp-up' → 'adjust' → 'stable beams for physics'), and that provide the possibility to define associated rules depending on the specific phase of operation<sup>1</sup>. The mode changes will be initially tracked by operators and subsequently by semi-automated sequences.

Some of the application using 'modes' include, for example, limiting parameter changes while the experiments are taking data (aka. 'Stable Beams' or production runs), limiting of high-intensity beam being requested or injected into a previously untested machine without having its settings being checked with low-intensity pilot beam, or to block certain operation during unsafe mode of operation (e.g. moving beam screens during production runs or while high-intensity beam is being extracted; settings changes that could impact the efficiency or safety of machine operation).

The 'actual states' differ with respect to the 'modes' insofar that they describe the actual measured state of the accelerator or beam. The 'states' perviate the accelerator and beam mode definitions, and could be equally used for ad-hoc or post condition of the control system (e.g. beam-based interlocks). Some of the actual states that are proposed and that shall be integrated and transmitted alongside with the modes are the Beam-Presence-Flag (BPF), the Setup-Beam-Flag (SBF), and the Injection- and Extraction-Permit that are defined in more detail below. While the transmission of the modes is not time-critical, there are certain real-time requirements on the transmission of BPF, SBF and Injection/Extraction Permit since they are foreseen to be used also by the interlock and machine protection system.

The definition of 'modes' shall not be mixed with 'actual states' to prevent circular dependencies, priority or causality inversions. For example: while the 'No Beam' mode declares the intend (as an agreement) that there will be no beam in the machine, only the 'Beam Presence Flag' measures the actual state whether there is (or was) beam in the machine. A consequence and trivial example rule using this definition would be to issue an interlock if the if the 'BPF=true' while the beam mode 'No Beam' is set.

<sup>1</sup> see also: [https://en.wikipedia.org/wiki/Mode\\_\(computer\\_interface\)](https://en.wikipedia.org/wiki/Mode_(computer_interface))

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Based on discussions with the primary stakeholders and experiments, the following two groups of modes are suggested:

- **'Accelerator Modes'**, that cover rule sets and operational sequence outside of beam operation and that are defined per accelerator or transfer-line segment,
- **'Beam Modes'**, that cover rule sets during beam operation and that are defined per accelerator or transfer-line segment and Beam-Production-Chain (BPC).

Table 1 summarises the possible accelerator- and beam-mode combinations.

		Accelerator Mode										
		Shut-down	Cool-down	Bake-Out	Warm-Up	Beam Operation						
						Machine-Checkout	Machine Test	Access	Beam Setup	Physics	Machine Development	
Beam Mode	No Beam	X	X	X	X	X	X	X	X	X	X	X
	Pilot Beam								X	X	X	
	Intensity Ramp-Up								X	X	X	
	Adjust								X	X	X	
	Stable Beams									X	(X)	
	Post-Mortem					X	X	X	X	X	X	
	Recovery					X	X	X	X	X	X	

Table 1: Accelerator vs. Beam Mode matrix of constraints.

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## 1. Accelerator Modes

The modes to be used as 'Accelerator Modes' follow the existing annual life-cycle of the accelerator facility and are formalised as:

Modes without beam

- SHUT-DOWN
- COOL-DOWN
- BAKE-OUT
- WARM-UP
- MACHINE-CHECKOUT
- MACHINE-TEST
- ACCESS

Modes with beam

- BEAM-SETUP (or MACHINE-SETUP). 
- PHYSICS-RUN
- MACHINE-DEVELOPMENT

Accelerator Modes are independent for every machine or transfer-line segment. The transfer-line segments as specified in the HEBT layout may be grouped into larger functional blocks if practical.

The valid set of Accelerator Modes depend on the nature of the respective machine or beam-line section (e.g. COOL-DOWN or WARM-UP only for cryogenic machines).

It shall be noted that, besides formalising their names, these modes are not a new concept but already being practised during normal operation at GSI and accounted for in the annual beam schedules (aka. "Strahlzeitplan") and electronic log-books. What is new is that the control system for FAIR will be made aware of these modes which in turn opens the possibility to derive automated rules, automated statistics collection and other features based on these modes.

### 1.1. Definition of Accelerator Modes

The Accelerator Modes are defined as follows:

#### A.1) SHUTDOWN

Used when the machine is in a mode of long-term maintenance or repairs, and could imply (as an example rule) the possibility of open or controlled access, and the machine being not powered.

Further discussions are needed whether this mode needs to distinguish a 'warm' and 'cold' shut-down (e.g. SIS100 being kept at 4 K).

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#### A.2) COOL-DOWN

Required for superconducting machines (in particular for SIS100 and Super-FRS) during the period when the machines are being cooled down from ambient temperature by the cryo-system (lasting typically 2-3 weeks), and could, for example, imply limited or no access (if safety necessitates it).

#### A.3) BAKE-OUT

Similar to COOLDOWN for the other normal conducting accelerators and transfer-lines but covering the vacuum bake-out period (also about 2 weeks).

#### A.4) WARM-UP

Required in particular superconducting machines (e.g. SIS100, Super-FRS) during the period when the machines are being warmed up, and could, for example, imply limited or no access (if safety necessitates it).

#### A.5) RECOVERY

Used after, for example, a major quench or partial vacuum loss outside of normal beam operation and lasting typically few hours to days. This mode would include pre-cycling of magnets to stabilise their hysteresis and revalidation of the proper function of power converter, quench protection systems, etc.

#### A.6) MACHINE-CHECKOUT

Covers operational tests and dry-runs without beam in view of beam operation (e.g. power converter calibration, magnet patrol, etc.). This mode is typically used only once per year, only after a long shut-down, and typically a few weeks before regular re-commissioning and operation with beam starts. This would also mark the end of regular shut-down operation and start of operation with beam.

#### A.7) MACHINE-TEST

Is a priori similar but for accounting purposes distinguished from MACHINE-CHECKOUT. The mode is intended to be used to cover similar activities during beam operation or shut-down. The expectation is that the machine is closed and all system in a ready-for-beam state to permit short-lasting dry-runs to test new control schemes, new front-ends, RF conditioning, tests that can be done without beam (e.g. when ion sources are being exchanged or the linac is unavailable) and for OP training purposes. This mode is needed from an operational point of view but is used if the time allocated should neither be accounted as 'Physics' nor 'Machine-Development' during the annual statistics.

#### A.8) ACCESS

Intended to be used whenever a controlled machine access is given during beam operation for specific interventions (repair, change of experimental setup). The main purpose of this mode is to keep track of beam (un-)availability during beam operation (annual accounting) and to stop the concerned part of the machine in a controlled way (ie. controlled soft-powering-down of magnets, rather than using interlocks that abruptly stop the power supplies and leave them in a fault state after an access).

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The following set of accelerator modes describe operation with beam but are proposed to be further divided to distinguish between the main aim or user of accelerator operation and to provide a more fine-grained accounting of beam times spent.

A.9) BEAM-SETUP (or MACHINE-SETUP) 

Used during the initial and subsequent re-commissioning after long shut-down and could include OP training with beam.

A.10) PHYSICS

State when the primary intended purpose of the machine is to deliver beam for atomic, anti-matter, nuclear and bio-medical applications. Decisions that would affect the beam availability (e.g. inserting of screens for parallel users) would be driven by the primary experiments with this mode.

A.11) MACHINE-DEVELOPMENT

Used when the primary intended purpose of the machine is to perform beam physics or accelerator physics studies. During this mode the prerogative of, for example, inserting screens into transfer-lines would be at the discretion of the accelerator physics groups.

## 1.2. Accelerator Mode Transitions and Constraints

The 'Accelerator Mode' for a respective machine or beam-line segment will be explicitly set or changed by an authorized operator. The primary exclusive constraints between 'Accelerator-' and 'Beam Modes' are outlined in Table 1. Tentatively the following list of accelerator mode rules (AMR) is foreseen:

AMR-1: The modes MACHINE-CHECKOUT, MACHINE TEST, ACCESS, BEAM SETUP, PHYSICS, MACHINE DEVELOPMENT are counted as beam operation. The other modes are reserved for annual shut-down or similar related tasks.

AMR-2: All modes beside BEAM SETUP, PHYSICS, MACHINE DEVELOPMENT imply that there must be no beam in the accelerator or transfer-line segment.

Further operational constraints and additional accelerator modes may be defined at a later stage.

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## 2. Beam Modes

The 'Beam Modes' follow the life-cycle (e.g. 'no beam' → 'pilot beam' → 'intensity ramp-up' → 'adjust' → 'stable beams for physics') of the so called 'Beam-Production-Chain' (BPC). The BPC is an organisational control system structure to manage parallel operation and beam transfer through the FAIR accelerator facility. It describes a 'beam' through the facility including the sequence and parameters of beam lines and accelerators, starting from the ion-source up to an experimental cave (e.g. APPA, CBM, Super-FRS, ...).

The BPC structure includes the definition of target beam parameters (set values) like, for example, isotope type, energy per nucleon, charge per nucleon, peak intensity, etc. Several BPC are grouped to a pre-defined 'Beam Pattern' that is typically executed periodically. While being pre-defined, these patterns can, however, be changed within minutes.

The 'Beam Modes' are specific for a given BPC but also transfer-line segment (or group of the latter). This implies that e.g. different accelerator can have different beam modes (e.g. the beam mode for the BPC delivering beam to CBM being 'Pilot Beam' in SIS18 and 'No Beam' in SIS100).

The first beam modes follow the natural sequence of how new beams are typically being set-up in an accelerator. In that respect (similar to the Accelerator Modes) these are not new but formalise the existing operation concept, integrate and would make the control system aware of them.

The modes to be used as 'Beam Modes' are defined per accelerator / transfer-line segment and beam production chain.

Beam modes are different for beam production chains, e.g. SIS100 could be in 'PRODUCTION' for U28+ but in SETUP for Au79+ beam production chains

They are formalized as follows:

- NO BEAM
- PILOT BEAM
- INTENSITY RAMP-UP
- ADJUST
- STABLE BEAMS
- POST-MORTEM or BEAM-DUMP
- RECOVERY

### 2.1. Definition of Beam Modes

The Beam Modes are defined as follows:

#### B.1) NO BEAM

Used by the control system to suppress by design beam requests or injections into a given ring or transfer-line.

#### B.2) PILOT BEAM

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Used while establishing the main machine functions and parameters such as injection steering, RF capture, ramp, orbit, tune and chromaticity, simple optics checks, proper slow or fast extraction. This mode implies that these operations are done typically with low setup-beam intensities, and that optics parameters can be changed without limits on their value or range.

### B.3) INTENSITY RAMP-UP

Used while ramping-up beam intensities and after the basic accelerator functions have been established. This mode is used to perform minor adjustments related to increasing the beam intensities and to validate related machine protection functionalities. It would be also linked to more strict limits on optics and parameter changes. This mode is necessary for a safe and reliable operation with high-intensities but could be skipped if operation with low beam intensities is targeted.

### B.4) ADJUST

While the main focus of the 'Pilot Beam' and 'Intensity Ramp-up' mode focus on the beam setup from an accelerator point of view, this mode focuses and is used to perform minor parameter changes or re-tuning in view of achieving the physics experiment's parameters.

### B.5) STABLE BEAMS

Used to indicate that the main intend is to deliver stable beam to the given experiment. Very limited machine tuning and (if at all) parameter changes would be allowed during this mode in order to avoid transients or spikes that would hamper the experiment's data taking. Leaving this mode may require a hand-shake or release by the experiments to provide them the possibility to return their detectors into a safe state.

### B.6) POST-MORTEM

Used in response to a quench, machine-protection action, partial vacuum loss (e.g. dynamic vacuum loss) or another major beam loss that needs to be analysed before normal beam operation can be continued.

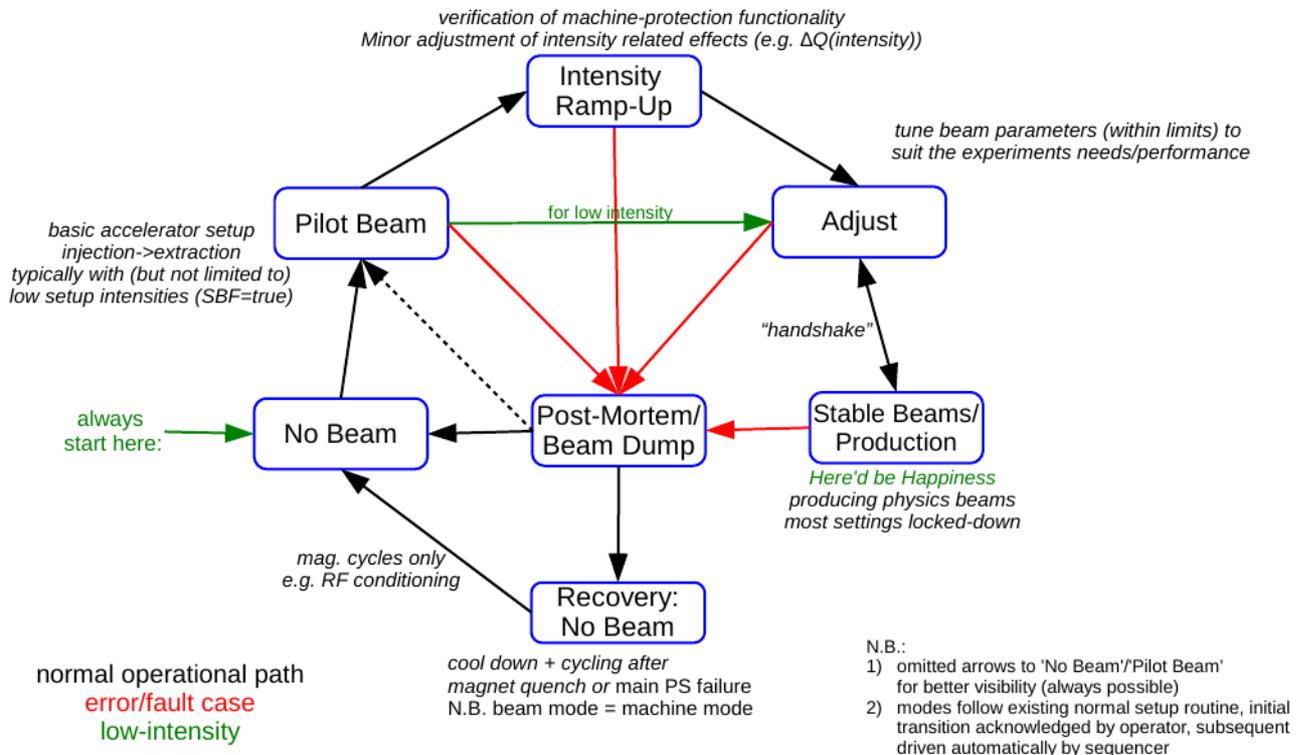
### B.7) RECOVERY

Used to indicate the time period required for recovering from a severe post-mortem (e.g. quench of a single or string of magnets) and covers the period to validate powering, cycling of the magnets, and other essential systems.

## 2.2. Beam Mode Transitions and Constraints

The proposed state diagram is illustrated in Figure 1.

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**Illustration 1: FAIR Beam Modes – State Diagram**

The mode changes will be initially tracked by operators and subsequently by a semi-automated sequencer. Tentatively the following list of beam mode rules (BMR) is foreseen:

**BMR-1:** Where applicable, the modes  $M_i$  are sorted according to the following priority hierarchy sequence, with the index  $i$  indicating the ring accelerator or transfer-line segment:

- $M_i = -2$ : POST-MORTEM →
- $M_i = -1$ : RECOVERY →
- $M_i = +0$ : NO-BEAM (default) →
- $M_i = +1$ : PILOT-BEAM →
- $M_i = +2$ : INTENSITY RAMP-UP →
- $M_i = +3$ : ADJUST →
- $M_i = +4$ : STABLE-BEAMS

**BMR-2:** The beam mode hierarchy ' $M_i \geq M_j$ ' for  $i < j$  shall be respected along the BPC, or – phrased differently – a down-stream machine may not have a higher mode than its predecessor. This is an important for the safe and reliable intensity ramp-up procedure and implies, for example, that the beam mode combination

- $M_{UNILAC} = \text{'ADJUST'}$  &  $M_{SIS18} = \text{'PILOT-BEAM'}$  is considered a valid as well as
- $M_{UNILAC} = \text{'STABLE-BEAMS'}$  &  $M_{SIS18} = \text{'NO-BEAM'}$ , while
- $M_{SIS100} = \text{'NO-BEAM'}$  &  $M_{SUPER-FRS} = \text{'STABLE-BEAM'}$  is not.

**BMR-3:** The following beam modes imply that there is no beam requested or circulating in the machine: RECOVERY, POST-MORTEM, NO-BEAM.

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- BMR-4: Consequently, the following beam modes imply a beam request by the machine or experiment: PILOT-BEAM, INTENSITY RAMP-UP, ADJUST, STABLE-BEAMS.
- BMR-5: The transition to the 'NO-BEAM' or 'PILOT-BEAM' beam mode is always possible from any of the other beam mode states.
- BMR-6: Beam-based interlocks, other non-essential interlocks, or other protection mechanism may not be masked or bridged for the modes INTENSITY RAMP-UP, ADJUST, or STABLE-BEAMS<sup>2</sup>.
- BMR-7: In case of safe and/or low-intensity beam, the mode transition through INTENSITY RAMP-UP may be skipped. The conditions for this rule must be set and agreed upon by the machine protection responsible of the given accelerator, target, or experiment.
- BMR-8: In case the subsequent beam mode in the BPC describes the experiments, the beam mode transition from 'ADJUST' to 'STABLE-BEAMS' must be acknowledged prior to being set by the corresponding experiment. The 'handshake' procedure between accelerator operation and experiments remains to be defined.
- BMR-9: In case the machine protection system or subsequently timing system has issued a post-mortem event, the beam mode shall be set to 'POST-MORTEM' by the sequencer or another (semi-) automated system.
- BMR-10: The transition from 'POST-MORTEM' to 'RECOVERY' or 'NO-BEAM' must be preceded by a post-mortem analysis and be explicitly acknowledged by the person in charge or delegated with machine protection for the given accelerator.

### 2.3. Open Issues

- Further accelerator modes such as 'INJECTION','ACCUMULATION', 'RAMP' and 'FLAT-TOP' may be considered in particular for slow-cycling storage rings (ie. ESR and HESR). Their necessity needs to be clarified.
- Need to check for accelerator modes that are global (e.g. access, recover, cool-down, etc.)

<sup>2</sup> N.B. This implies also, that the modes with priorities larger than PILOT-BEAM force the setup-beam flag (see SBF definition below) to 'false' (i.e. masking only allowed with the 'PILOT-BEAM' mode).

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### 3. Actual States

The 'actual states' differ with respect to the 'modes' insofar that they describe the actual measured state of the accelerator or beam. This specification is limited to the actual states that can be expressed as boolean. The following common flags and permits are proposed.

#### 3.1. Beam-Presence Flag (BPF)

The 'Beam-Presence-Flag' shall indicate that a given BPC and associated settings have been validated and are compatible with pilot- or physics-beam. The main purpose of this flag is to prevent high-intensity injections into a machine with new or untested magnetic settings or modified machine conditions that might be potentially incompatible with machine-protection or activation minimisation of the machine.

The flag would need to be defined per accelerator or transfer-line segment (where necessary). The flag is being set whenever the basic accelerator function has been confirmed with beam (e.g. acceptable performance of: injection, circulating beam, transmission, etc.).

The initial checks and references would be taken by an operator or experienced machine expert. Subsequent cycles would be re-validated and checked against these references. The BPF shall expire if untested for a prolonged period or if settings have substantially changed, and could force, for example, re-checking the cycle settings with pilot beam before continuing with high-intensity beams.

Provisionally, it is foreseen that the beam presence flag is being tracked by the Beam Transmission Monitoring (BTM) System.

#### 3.2. Setup-Beam Flag (SBF)

The 'Setup-Beam-Flag' shall indicate beams (with typically low-intensities) used specifically during the initial setup of the BPC. The definition derives from the experience and existing usage at LHC: too strict interlocks and rules that are required to ensure a safe and reliable high-intensity operation may at the same unnecessarily impact machine availability during beam setup with low-intensities.

The SBF facilitates the flexibility of masking some of these interlocks during low-intensity operation, while ensuring that these interlocks are taken automatically into account when operating with unsafe high-intensity beam.

The SBF is a continuous signal and defined per accelerator and beam-line segment. The flag needs to be derived automatically from the beam current transformer (simple threshold). Most of the SBF-maskable interlock candidates are related to more complex or beam-instrumentation-derived interlocks such as beam transmission, beam loss monitoring, beam screens and multi-wire grids.

Some use-case and proposed rule examples to illustrate the SBF:

a) While 20% beam transmissions may be temporarily acceptable during setup or low-intensity operation (ie. transmission interlock being masked), the associated interlock should not be kept permanently disabled or forgotten once moving to high-intensity operation.

b) In order to self-protect sensitive beam screens, wire-grids and other similar devices, these devices would by-default create an extraction (or injection) interlock (↔fail-safe logic) whenever they are inserted into the beam line or ring. However, these interlock could be masked if the

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'SBF==true' and possible other additional beam mode requirements are fulfilled (e.g. not in 'STABLE BEAMS' or 'INTENSITY RAMP-UP', etc.).

Provisionally, it is foreseen to derive the SBF from a simple (coarse) threshold using one of the DC beam current transformer in each of the ring accelerator. The SBF is propagated and defined for all devices within the ring where it is measured, its subsequent transfer-lines, up to the next ring accelerator or beam target.

### 3.3. Injection Permit

The 'Injection Permit' is defined per accelerator and shall be used to inhibit particle beam injections in case the given accelerator is not ready or being set-up to receive beam from the pre-injectors. The signal is derived from combined state of the accelerator hardware (e.g. power converter, vacuum system, front-ends, critical beam instrumentation, ...) and software systems that are required for injection, acceleration and extraction of the given beam. The injection suppression is performed via the timing and/or injection kicker systems.

It is planned to provide the required surveillance through a combination of programmable hardware- and software-based interlock systems [reference to the FAIR Software Interlock & Fast-Beam-Abort-System, MASP].

### 3.4. Extraction Permit

The 'Extraction Permit' is a complementary signal to the 'Injection Permit' and shall be used to suppress particle beam extractions in case the subsequent beam-line, accelerator or experiment is not ready to receive beam (e.g. hardware fault, system being reconfigured, ...). In case the permit is absent, the beam shall either be dumped inside the accelerator (e.g. programmed dump) at the end of the cycle, or – if time permits – suppressed in the pre-accelerator before it is being injected.

Similar to the 'Injection Permit', the combinatory logic which beam-line segments, accelerators and experiments are taken into account need to be kept programmable.

### 3.5. Movable-Device-Permit

The 'Movable-Device-Permit' shall indicate whether movable devices (e.g. such as vacuum valves, wire grids, beam screen, etc.) are allowed to be moved into the beam. This flag is derived from the logical combination of all 'beam modes' for a given accelerator or transfer-line segment: the flag shall be 'TRUE' if all beam modes for a given ring or transfer-line are in 'NO-BEAM', 'PILOT', 'POST-MORTEM' or 'RECOVERY', and 'FALSE' otherwise.

Some use-case and proposed rule examples to illustrate the MDP:

If a device needs to be inserted while the BPC's 'beam mode' of an experiment is in 'STABLE BEAMS', the experiment would first need to drop back to 'PILOT' or 'NO-BEAM' before the device is allowed to move in. The required mode change is at the discretion of the primary experiment (for the 'PHYSICS' accelerator mode) or operator (for the 'MACHINE DEVELOPMENT' accelerator mode).

On the other hand, it must not be possible to switch the BPC back to 'STABLE BEAMS' while any movable device is in the beam path.

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## 4. Usage and Management of Modes

### 4.1. Users of the Modes

Being fundamental for accelerator operation and control, the modes are used to communicate the users the overall state of machine operations. The modes are distributed for information, and for conditioning sub-system response.

The users of Accelerator and Beam Modes will include:

- Role Based Access (RBAC) for system security and software application control rights, etc.
- Accelerator control software for conditioning sub-system response (such as data acquisition) and publishing
- Operational log book (oLog)
- Software Interlock System
- ...

### 4.2. Management of Modes

All accelerator and beam modes of the full facility will be stored and managed in the LSA database in an appropriate table or BPC multiplexed setting.

It is envisaged that a finite state machine will be responsible for maintaining the modes and for ensuring legal transitions between states and for initiating the distribution of any mode changes to the systems concerned.

All mode-changes are time-stamped and further logged in the diagnostic logging database (DIALOG).

### 4.3. Distribution of the Modes

The modes will be made available by a number of channels. These will include

- high level publishing mechanism from LSA for clients ('mode' as a multiplexed virtual LSA parameter)
- via the timing system (tbd.) 
- ...

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## Related Documents

- [1] FAIR Common Specification F-CS-C-01e, "Accelerator Control System"
- [2] FAIR specification document F-TC-C-06e, "Multiplexing and Data Indexing Concept"